



Bishop Chadwick
Catholic Education Trust

Data Retention Policy and Schedule

Agreed by Directors:
Review Date: Summer 2022

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Revision History

The below table provides the revision history for this document. Each revision has an associated date, issue number, and description of the changes and/or content. The document revisions appear in descending order, with the most-recent iteration appearing first in the table.

Date	Version	Description	Author
3/12/2020	0.a	Initial Draft	Sarah Burns, Data2Action
7/5/2021	0.b	Final draft	Sarah Burns, Data2Action
11/5/2021	V1.0	Signed off by Directors	Sarah Burns, Data2Action

1. Purpose

The purpose of this Policy is to ensure that all employees and associated third parties of the Bishop Chadwick Catholic Education Trust ('the Trust', including all associated schools) understand the requirements set out in the GDPR, Data Protection Act 2018 and other associated legislation in relation to the retention of records created and stored within the Trust.

When applying this policy, consideration should also be given to other legislation which may govern and inform the Trust's decisions regarding the duration in which records are to be retained. Whilst not an exhaustive list, the following are key legislation which the Trust is required to comply with in this regard:

- UK General Data Protection Regulation (UK GDPR)
- Data Protection Act 2018 (DPA)
- Privacy and Electronic Communications Regulations (PECR) 2003
- Freedom of Information Act 2000 (FOIA)
- Section 46 Code of Practice - Records Management
- Keeping Children Safe in Education 2020
- Employment Act 2008

The Trust strives to ensure it delivers fair outcomes for its pupils and staff and shall not knowingly or intentionally breach any applicable law or regulation relevant to the conduct of its activities. The Trust is committed to the highest standards of ethical conduct and integrity and is dedicated to acting in an open and honest manner when dealing with both pupils, parents, governors and employees.

This Policy should be read in conjunction with the wider suite of compliance policies which exist to provide a structure for staff to work within and remain compliant with Data Protection legislation. This Policy does not contain an exhaustive set of requirements. Employees of the Trust should always comply with the guidance within this Policy, the overriding objective of which is to protect Personal Data held by the Trust.

2. Scope

This policy and the Data Retention Schedule (Annex A) specify the retention and disposal requirements that apply to all records held by the Trust, regardless of the form they take i.e. paper, electronic records, audio etc. This includes, but is not limited to education records, letters, emails, recorded telephone calls, attendance notes, financial information (such as statements or invoices), reports, legal documents (such as contracts and deeds), meeting minutes and photographs etc.

3. Risk Appetite

The Bishop Chadwick Catholic Education Trust has no appetite for regulatory breaches or breaches of this policy and related procedures.

4. Compliance

Determining appropriate retention periods

In determining the retention periods, consideration is given to:

- The business purpose and requirements attributed to the record
- The need to comply with any applicable statutory or regulatory retention requirements
- Records of public interest or for historical, scientific or statistical purposes or
- The Trust's need to exercise its legal rights and/or defend against legal claims.

The Trust is required under data protection laws to ensure that records containing personal data are not retained in a form which enables the identification of individuals for any longer than is necessary for the purposes for which the personal data have been collected. The Trust must be able to justify the retention of personal data to the authority responsible for enforcing data protection laws (in the UK, the ICO).

In practice this means the Trust must not retain the personal data contained within pupil, employee, supplier or any other records for any longer than is necessary. The Trust takes a proportionate approach to data retention, balancing the needs of the Trust with the impact of retention on the Data Subjects' privacy.

The Trust also needs to comply with all other aspects of data protection laws in relation to the personal data retained, including ensuring that its retention is fair and lawful and that it is secured by appropriate technical and organisational measures against unauthorised or unlawful processing, and against accidental loss, destruction or damage.

There may be circumstances where the data is no longer needed to fulfil its original stated purpose. Where this is the case, it may be appropriate to delete the record prior to its given retention period. Care must be taken however in first assessing the record, as deletion of personal data which should be retained, could in fact be the subject of a data breach.

In some instances, statutory requirements will dictate a retention period, for example pupil education records must be retained for 25 years from the year of birth, or records regarding salary or pension payments must be retained for current year plus 6 years, where this is the case, the statutory timescale must be adhered to. The Trust Data Retention Schedule (Annex A) details the retention period for all records, which takes into consideration both statutory and organisational retention periods.

Retaining data beyond its retention period

Personal Data may also be retained for a longer period if it is solely for archiving purposes where it is in the public interest, for scientific purposes, historical research purposes or statistical purposes, in accordance with Article 89(1) of the GDPR.

Where a record is subject to a legal claim, audit or investigation, it may be retained for a longer period than that stated in the retention schedule. Where this is the case, details of the reason should be recorded and where the record includes personal data, only the specific personal data which is required to be retained to meet the legal claim, audit or investigation should be retained. Records containing this data must be moved from a live environment to a secure archive that is subject to appropriate security and restricted access. Once the record is no longer needed, it is the responsibility of the record owner to ensure it is securely and permanently deleted, disposed of or anonymised. Guidelines for statutory periods for making a legal claim are detailed in the Limitations Act 1980 and should be used as a guide when retaining records for this purpose.

5. Responsibilities: Record Owners

Organisational measures to manage record retention periods

Record owners are responsible for ensuring that all records within their control are retained and disposed of in accordance with this policy and the Data Retention Schedule (Annex A). Measures must be implemented to ensure retention periods are managed in accordance with the retention schedule. Prior to the retention date a review of the record should be carried out to determine whether the record should be destroyed or retained further.

Secure disposal of records

Where there is no requirement to retain the record, the information owner must ensure it is securely and permanently deleted or disposed of in accordance with this policy or where appropriate, that it is anonymised.

Data anonymisation is the process by which personal data is irreversibly altered in such a way that a data subject can no longer be identified directly or indirectly. Personal data must be anonymised if it is to be used for a purpose other than which it was collected (i.e. statistical purposes where the identity of an individual is not required). Anonymisation must be applied to all associated datasets and records, including any back-ups or duplicate copies of the personal data.

Records must be deleted or destroyed securely and in a way that protects the confidentiality and integrity of the Trust and the rights and privacy of the data subjects. Specific care must be taken over the disposal of any Trust confidential, sensitive or confidential personal data. The following secure methods of destruction must be adopted:

- Electronic records should be deleted with a secure deletion utility that ensures the information cannot be retrieved. Standard deletion utilities that only remove the file pointer should not be used.
- Personal data on hard drives, removable media and any similar items must be securely erased before any disposal or reassignment of the equipment.
- Where personal data cannot be erased from equipment, it must be physically destroyed by an authorised, specialist destruction company, and certificates of destruction must be obtained.
- Paper copies must be destroyed using cross-cut shredders or disposed of securely as 'confidential waste' by an approved third party.

6. Training

All employees must undergo GDPR training which outlines their responsibilities under this Policy. Trust employees will undergo this training upon induction into the Trust and undergo refresher training on a regular basis (at least annually). A record of individual training completion must be logged and retained in employee files or a centralised file.

7. Monitoring

Monitoring to assess the adherence to and effectiveness of this policy will be completed by the leadership team in each school and appointed personnel within the central Trust team. Monitoring should be conducted on a regular basis, but no less than once annually to ensure the Data Retention Schedule captures all identified required data.

8. Document Approval

Document Name	Data Retention Policy and Schedule	
Publication Date		
Prepared by	Sarah Burns, Data2Action	
Approval (Name & Organization)		Sign

9. Annex A – Data Retention Schedule

The retention periods below must be adhered to with appropriate organisational measures implemented to ensure records are reviewed periodically and decisions made as to their ongoing retention (where the data is part of a legal claim, audit or investigation), destruction or permanent preservation with The National Archives (TNA).

1. Management of the School

This section contains retention periods connected to the general management of the school. This covers the work of the Directors, Executive Team, Governing Committee, the Headteacher and the senior management team, the admissions process and operational administration.

1.1 Governance: Directors, local committees and sub committees				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
1.1.1	Agendas for Directors, governor committees and sub-committee meetings	There may be data protection issues if the meeting is dealing with confidential issues relating to staff	Principle copy must be retained with the master set of minutes. Permanent retention	Archive either in physical permanent storage or electronic archive filing system. Secure and permanent disposal of all surplus copies.
1.1.2	Minutes from Directors, governor committees and sub-committee meetings	There may be data protection issues if the meeting is dealing with confidential issues relating to staff	Principle copy must be retained with the master set. Permanent retention	Archive either in physical permanent storage or electronic archive filing system. Secure and permanent disposal of all surplus copies.
1.1.3	Reports presented to the Directors, governor committees and sub-committee meetings	There may be data protection issues if the report deals with confidential issues relating to staff	Principle copy should be retained with the master set of minutes. Permanent retention	Archive either in physical permanent storage or electronic archive filing system. Secure and permanent disposal of all surplus copies
1.1.4	Instruments of Government including Articles of Association (NB it is mandatory that the Articles of Association are published on Trust website)	No	Permanent retention	These should be retained in the school whilst the school is open and then offered to County Archives Service when the Trust.
1.1.5	Trusts and Endowments managed by the Directors and Governing Committee	No	Permanent retention	These should be retained in the school whilst the school is open and then offered to County Archives Service when the Trust.

1.1.6	Policy documents created and administered by the Governing Committee	No	Life of the policy	Secure disposal
1.1.7	Records relating to complaints dealt with by the Governing Committee 1. Informal 2. Head 3. Chair of Governors 4. Panel of governing board	Yes	Date of resolution of the complaint + a minimum of 6 years then review for further retention in case of contentious disputes.	Secure disposal
1.1.8	Proposals concerning the change of status of a maintained school including Specialist Status Schools and Academies. Decisions and associated documents tabled at Governing Boards/committees to be retained in line with Governing Committee records	No	One copy should be retained with the master set of minutes. All other copies can be disposed of. Permanent retention	Archive either in physical permanent storage or electronic archive filing system. Secure and permanent disposal of all surplus copies.

1.2 Head Teacher and Senior Management Team				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
1.2.1	Minutes of Senior Leadership Team meetings and the meetings of other internal administrative bodies (inc. Head Teacher Board, Secondary Head Teacher Board and Primary Head Teacher Board meetings).	There may be data protection issues if the minutes refers to individual pupils or members of staff.	Date of the meeting + 3 years then review	Electronic records stored on Onedrive. Secure disposal
1.2.2	Reports created by the Head Teacher or the Leadership Team (inc. Head Teacher/subject head report for Directors Board mtg & School Head Teacher reports for Governor committees).	There may be data protection issues if the report refers to individual pupils or members of staff.	Date of the report + 3 years then review	Electronic records stored on Onedrive. Secure disposal
1.2.3	Records created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities.	There may be data protection issues if the records refer to individual pupils or members of staff.	Current academic year + 6 years then review (dependent upon report type)	Secure disposal
1.2.4				

	Correspondence created by head teachers, deputy head teachers, heads of year and other members of staff with administrative responsibilities.	There may be data protection issues if the correspondence refers to individual pupils or members of staff.	Date of correspondence + 3 years then review (dependent upon record)	Both Paper and electronic copies. Secure disposal.
1.2.5	School Improvement Plans	No	Life of the plan + 5 years.	Secure disposal.

1.3 Admissions Process				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
1.3.1	All records relating to the creation and implementation of the School Admissions' Policy	No	Life of the policy + 3 years then review	Secure disposal
1.3.2	Admissions – if the admission is successful	Yes	Date of admission + 1 year	Secure disposal
1.3.3	Admissions – if the appeal is unsuccessful	Yes	Resolution of case + 1 year	Secure disposal
1.3.4	Admissions Transition File (from Local Authority/ primary school).	Yes	Retained as electronic record with all other pupil records.	Secure disposal
1.3.5	Register of Admissions (automatic port via DfE secure transfer system). includes new Year 7 intake, in year transfers, managed moves etc.	Yes	Date of birth + 25 years	Secure disposal
1.3.6	Admissions – in year/ managed move.	Yes	Current year + 1 year	Secure disposal
1.3.7	Proofs of address / baptism/ religious certificate/evidence supplied by parents as part of the admissions process	Yes	Current year + 1 year	Secure disposal
1.3.8	Published Admission Number (PAN) Reports	No	Current year + 6 years	Standard disposal

1.4 Operational Administration				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
1.4.1	General file series.	No	Current year + 5 years then	Secure disposal
1.4.2	Records relating to the creation and publication of the school brochure or prospectus.	No	Current year + 3 years	Standard disposal
1.4.3	Records relating to the creation and distribution of circulars to staff, parents or pupils. (e.g. factual updates such as lunch rota updates etc.).	No	Current year + 1 year	Standard disposal
1.4.4	Newsletters and other items with a short operational use.	No	Current year + 1 year	Standard disposal
1.4.5	Visitors' Books and Signing in Sheets.	Yes	Current year + 6 years	Secure disposal
1.4.6	Records relating to the creation and management of Parent Teacher Associations and/or Old Pupils Associations.	No	Current year + 6 years then	Secure disposal

2. Human Resources

This section deals with all matters of Human Resources management within the school.

2.1 Recruitment				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
2.1.1	All records leading up to the appointment of all staff – successful candidates	Yes	Appointed staff - duration of employment + 7 years All information should be added to the staff personal file	Secure disposal
2.1.2	All records leading up to the appointment of a new member of staff – unsuccessful candidates	Yes	Date of recruitment process + 1 year	Secure disposal

2.1.3	In line with Keeping Children Safe in Education: Pre-employment vetting information – (e.g. DBS Checks, medical checks, criminal record risk assessment, references, overseas police check, qualifications, proof of ID)	No	Appointed staff - duration of employment + 7 years All information should be added to the staff personal file Unsuccessful candidates - Date of recruitment process + 1 year NB: The school must <u>not</u> keep copies of DBS certificates.	Secure disposal
2.1.4	Single central record	Yes	At point of leaving employment	Secure disposal
2.1.5	Pre-employment vetting information – Evidence proving the right to work in the United Kingdom, including Visas, work permits and certificate of sponsorship.	Yes	These documents must be added to the Staff Personal File Employment + 6 years	Mix of paper and electronic. Secure disposal

2.2 Operational Staff Management				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
2.2.1	Staff Personal File.	Yes	Termination of Employment + 6 years NB: If transfer within Trust, file needs to move to new school (not to be classed as leaver).	Secure disposal
2.2.2	Timesheets.	Yes	Current year + 6 years	Secure disposal
2.2.3	Annual appraisal/ performance management and quality assurance/ teacher lesson observations/ CPD records.	Yes	Current year + 6 years NB: Must not be held in personal file.	Secure disposal

2.2.4	Request for authorised leave (i.e. medical appointment). Hospital notices/ evidence of appt etc to be deleted once decision made.	Yes	3 years from request. NB: Must not be held in personal file.	Secure disposal. Paper and electronic copies.
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2.3 Management of Disciplinary and Grievance Processes				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
2.3.1	Allegation of a child protection nature against a member of staff including where the allegation is unfounded	Yes	All records to be placed in a sealed envelope with the destruction date added . To be kept in the staff personal file Retained until the person's normal retirement age or 10 years from the date of the allegation whichever is the longer	Secure and permanent disposal These records must be shredded or securely disposed of
2.3.2	Disciplinary Proceedings (conduct, capability, absence)	Yes	Duration of employment + 6 years . NB: Note to be placed on file to show date sanction is spent.	Secure disposal
2.3.3	Grievance/ harassment and bullying allegation	Yes	Investigation and outcome held on personal file (person making allegation) If upheld, record then falls in line with disciplinary retention periods.	Secure disposal

2.4 Health and Safety				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
2.4.1	Health and Safety Policy Statements	No	Life of policy + 1 year	Secure disposal
2.4.2	Health and Safety Risk Assessments School level	No	Life of risk assessment + 3 years	Secure disposal
2.4.3	Records relating to accident/ injury at work – school and Trust (depending upon claim)	Yes	Date of incident + 20 years In the case of serious accidents a further retention period will need to be applied	Secure disposal

2.4.4	Accident Reporting Adults Children	Yes	Date of the incident + 7 years Date of incident + 7 years	Secure disposal
2.4.5	Control of Substances Hazardous to Health (COSHH)	No	Current year + 10 years	Secure disposal
2.4.6	Process of monitoring of areas where employees and persons are likely to have become in contact with asbestos Exposure	No	Last action + 40 years	Secure disposal
2.4.7	Process of monitoring of areas where employees and persons are likely to have become in contact with radiation	No	Last action + 50 years	Secure disposal
2.4.8	Fire Precautions log books Tests, checks, emergency evacuations (school level)	No	Current year + 6 years	Secure disposal

2.5 Payroll and Pensions				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
2.5.1	Maternity pay records	Yes	Held on file for duration of employment + 6 years	Secure disposal
2.5.2	Records held under Retirement Benefits Schemes (Information Powers) Regulations 1995. (Inc ill health retirement)	Yes	Current year + 6 years	Secure disposal

3. Financial Management of the School

This section deals with all aspects of the financial management of the school including the administration of school meals

3.1 Risk Management and Insurance

Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
3.1.1	Employer's Liability Insurance Certificate (To be held centrally within Trust)	No	Closure of the school + 40 years	Secure disposal

3.2 Asset Management

Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
3.2.1	Inventories of furniture and equipment	No	Current year + 6 years	Secure disposal
3.2.2	Burglary, theft and vandalism report forms	No	Current year + 6 years	Secure disposal

3.3 Accounts and Statements including Budget Management

Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
3.3.1	Annual Accounts	No	Current year + 6 years	Standard disposal
3.3.3	Student Grant applications	Yes	Current year + 6 years (included as part of pupil file)	Secure disposal
3.3.4	All records relating to the creation and management of budgets including the Annual Budget statement and background papers (Trust level)	No	Life of the budget + 3 years	Secure disposal
3.3.5	Invoices, receipts, order books and requisitions, delivery notices	No	Current financial year + 6 years	Secure disposal
3.3.6	Records relating to the collection and banking of monies	No	Current financial year + 6 years	Secure disposal

3.3.7	Records relating to the identification and collection of debt	No	Current financial year + 6 years	Secure disposal
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3.4 Contract Management

Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
3.4.1	All records relating to the management of contracts under seal	No	Last payment on the contract + 12 years	Secure disposal
3.4.2	All records relating to the management of contracts under signature	No	Last payment on the contract + 6 years	Secure disposal
3.4.3	Records relating to the monitoring of contracts	No	Current year + 6 years	Secure disposal

3.5 School Fund

Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
3.5.1	School Fund - Cheque books	No	Current year + 6 years	Secure disposal
3.5.2	School Fund - Paying in books	No	Current year + 6 years	Secure disposal
3.5.3	School Fund – Ledger	No	Current year + 6 years	Secure disposal
3.5.4	School Fund – Invoices	No	Current year + 6 years	Secure disposal
3.5.5	School Fund – Receipts	No	Current year + 6 years	Secure disposal
3.5.6	School Fund - Bank statements	No	Current year + 6 years	Secure disposal
3.5.7	School Fund – Journey Books	No	Current year + 6 years	Secure disposal

3.6 School Meals

Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
3.6.1	Free School Meals Registers where held (file from LA – updated in SIMs)	Yes	Current year + 6 years	Secure disposal
3.6.2	School Meals Registers (primaries)	Yes	Current year + 3 years	Secure disposal

3.6.3	School Meals Summary Sheets (payment reconciliation)	No	Current year + 3 years	Secure disposal
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4. Property Management

This section covers the management of buildings and property.

4.1 Property Management				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
4.1.1	Title deeds of properties belonging to the school (Trust) Any claims on the land, applications for access.	No	Permanent retention These should follow the property unless the property has been registered with the Land Registry	Permanent archiving
4.1.2	Plans of property belonging to the school (fall within title deeds and associated documents)	No	Permanent retention These should be retained whilst the building belongs to the school and should be passed onto any new owners if the building is leased or sold.	Permanent archiving
4.1.3	Leases of property leased by or to the school	No	Expiry of lease + 6 years	Secure disposal
4.1.4	Records relating to the letting of school premises, inc licenses, revisions	No	Current financial year + 6 years	Secure disposal
4.1.5	Lettings	No	Current year + 3 years	Secure Disposal

4.2 Maintenance				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
4.2.1	All records relating to the maintenance of the school carried out by contractors	No	Current year + 6 years	Secure disposal

4.2.2	All records relating to the maintenance of the school carried out by school employees including maintenance log books	No	Current year + 10 years	Secure disposal
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5. Pupil Management

This section includes all records which are created during the time a pupil spends at the school. For information about accident reporting see under Health and Safety above

5.1 Pupil's Educational Record				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
5.1.1	Pupil's Educational Record required by The Education (Pupil Information) (England) Regulations 2005			
	Primary	Yes	Retain whilst the child remains at the primary school	The file should follow the pupil when he/she leaves the primary school.
	Secondary	Yes	Date of Birth of the pupil + 25 years	Secure disposal
5.1.2	Examination Results – Pupil Copies			
	Public	Yes	Current year + 6 years	All uncollected certificates should be returned to the examination board.
	Internal	Yes	Current year + 5 years	
5.1.3	Child Protection information held on pupil file	Yes	If any records relating to child protection issues are placed on the pupil file, it should be in a sealed envelope and then retained for the same period of time as the pupil file.	Secure disposal – these records MUST be shredded.

5.1.4	Child protection information held in separate files	Yes	DOB of the child + 25 years then review. (This retention period was agreed in consultation with the Safeguarding Children Group on the understanding that the principal copy of this information will be found on the Local Authority Social Services record).	Secure disposal – these records MUST be shredded
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5.2 Attendance				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
5.2.1	Attendance Registers	Yes	Entry date + 3 years	Secure disposal
5.2.2	Correspondence relating to authorized absence	Yes	Current academic year + 2 years	Secure disposal

5.3 Special Educational Needs				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
5.3.1	Special Educational Needs files, reviews and Individual Education Plans	Yes	Date of Birth of the pupil + 25 years	Secure disposal.
5.3.2	Statement maintained under section 234 of the Education Act 1990 and any amendments made to the statement	Yes	Date of birth of the pupil + 25 years [This would normally be retained on the pupil file].	Secure disposal unless the document is subject to a legal hold

6. Curriculum Management

6.1 Statistics and Management Information				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
6.1.1	Curriculum returns	No	Current year + 3 years	Secure disposal
6.1.2	Examination Results (Schools Copy)	Yes	Current year + 6 years	Secure disposal

	Pupil results	Yes	<p>Exam results should be recorded on the pupil's educational file and will therefore be retained until the pupil reaches the age of 25 years.</p> <p>The school may wish to keep a composite record of all the exam results. These could be kept for current year + 6 years to allow suitable comparison</p>	Secure disposal
6.1.4	Value Added and Contextual Data – full performance data suite	Yes	Current year + 6 years	Secure disposal

6.2 Implementation of Curriculum				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
6.2.1	Schemes of Work	No	Current year + 1 year	Review these records at the end of each year and allocate a further retention period or secure disposal
6.2.2	Timetable	No	Current year + 1 year	Secure disposal
6.2.3	Class Record Books	No	Current year + 1 year	Secure disposal
6.2.4	Mark Books	No	Current year + 1 year	Secure disposal
6.2.5	Record homework set	No	Current year + 1 year	Secure disposal
6.2.6	Pupils' Work	No	Where possible pupils' work should be returned to the pupil at the end of the academic year if this is not the school's policy then current year + 1 year	Secure DISPOSAL

7. Extra Curriculum Management

7.1 Educational Visits outside the Classroom				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
7.1.1	Records created by schools to obtain approval to run an Educational Visit outside the Classroom – Primary Schools	No	Date of visit + 14 years	Secure disposal
7.1.2	Records created by schools to obtain approval to run an Educational Visit outside the Classroom – Secondary Schools	No	Date of visit + 10 years	Secure disposal
7.1.3	Parental consent forms for school trips where there has been no major incident	Yes	Whilst pupil remains in the school	Secure disposal
7.1.4	Parental permission slips for school trips – where there has been a major incident	Yes	DOB of the pupil involved in the incident + 25 years The permission slips for all the pupils on the trip need to be retained to show that the rules had been followed for all pupils	Secure disposal

7.2 Family Liaison Officers and Home School Liaison Assistants				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
7.2.1	Day Books	Yes	Current year + 2 years then review	Secure disposal
7.2.2	Reports for outside agencies - where the report has been included on the case file created by the outside agency	Yes	Whilst child is attending school and then destroy	Secure disposal
7.2.3	Referral forms	Yes	While the referral is current	Secure disposal
7.2.4	Contact data sheets	Yes	Current year then review, if contact is no longer active then destroy	Secure disposal
7.2.5	Contact database entries	Yes	Current year then review, if contact is no longer active then destroy	Secure disposal
7.2.6	Group Registers	Yes	Current year + 2 years	Secure disposal

8. Central Government and Local Authority

8.1 Local Authority				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
8.1.1	Secondary Transfer Sheets	Yes	Current year + 2 years	Secure disposal
8.1.2	Attendance Returns	Yes	Current year + 1 year	Secure disposal
8.1.3	School Census Returns	No	Current year + 6 years	Secure disposal
8.1.4	Circulars and other information sent from the Local Authority	No	Operational use	Secure disposal
8.1.5	Destination file	Yes	Current year + 1 year	Secure disposal

8.2 Central Government –				
Ref	Basic file description	Data Protection Issues	Retention Period [Operational]	Action at the end of the administrative life of the record
8.2.1	Returns made to central government	No	Current year + 6 years	Secure disposal
8.2.2	Circulars and other information sent from central government	No	Operational use	Secure disposal

Recommended Disposal Method

Records must be deleted or disposed of using one of the following secure methods:

Destruction Method: A
<p>Documents retained electronically should be deleted with a secure deletion utility that ensures that the information cannot be retrieved. Standard deletion utilities that only remove the file pointer should not be used.</p> <p>Note: Files that are moved to the recycle bin stay in those folders until the user empties the recycle bin folder. Once files have been deleted from the recycle bin folder, they are still located in the hard drive and can be retrieved with the right software. Secure delete means to overwrite data in a file when the file has been deleted from your computer.</p> <p>A Third Party deletion or shredding tool that fully deletes a file by overwriting it multiple times with random data so the file is virtually impossible to recover is the only way to ensure a file containing sensitive or personal data is definitely deleted for example, Eraser or File Shredder.</p>

Destruction Method: B

Personal Data on hard drives, removable media and any similar items must be securely erased before any disposal or reassignment of the equipment.

For Example: Blancco which offers certified, secure data erasure software for a range of IT assets, including laptops, mobile devices, servers, virtual machines, files and more.

Where Personal Data cannot be erased from equipment, it must be physically destroyed by an authorised, specialist destruction company, and certificates of destruction must be obtained.

Destruction Method: C

Paper copies must be destroyed using cross-cut shredders or disposed of securely as 'confidential waste' by an approved third party.